

Practical Algorithms for Decomposing Objects into Small Components

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Abstract

In 3D printing, fabricators typically subdivide a large object into smaller components each of which fits inside the print bed. In this paper, we study two problems motivated by this workflow. A connected subset of $\mathbb{R}^d$ is defined to be *small piece* if it fits inside an axis-aligned unit box. Our first problem asks for a decomposition of a given simple polygon P into the minimum number of small pieces. We present a greedy 4-approximation algorithm for this problem, improving the current best factor of 13. While the algorithm's correctness relies heavily on non-trivial structural properties of small decompositions that we discover, the algorithm itself is simple to implement.

In practice it may be preferable to print several pieces at once, as long as they altogether fit within the bed. To capture this, we define and study d -DISCOSMALLPARTITION: a (possibly disconnected) subset of $\mathbb{R}^d$ is a *small region* if it fits within a unit box; we look to decompose a (possibly non-simple) polyhedron $P \subseteq \mathbb{R}^d$ into the minimum number of small regions. We provide a general 2^{d-1} -approximation algorithm for the problem and focus on obtaining efficient algorithms for the practically relevant cases of $d = 2$ and $d = 3$. For the 2D case, we describe a 2-approximation algorithm that runs in near-linear time. In 3D, our approximation factor is 4 while the runtime is in the order of $f \cdot \text{OPT}$ where f is the number of faces of P and OPT is the number of boxes in an optimal decomposition of P . We provide implementations of our algorithms and make it open source to support further engineering. Furthermore, we compare the performance of our algorithms to an exact primal-dual approach and present experimental results.

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Supplementary Material *Software (Source Code)*: [insertlinktorepo](#)

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1 Introduction

In manufacturing processes, it is typical that we want to produce a large object P but are restricted by fabrication methods that can only produce parts of bounded size. In 3D printing, for example, we can only print parts that fit inside the printing volume, which is typically a box. Once these parts are produced they are assembled appropriately to form P . In order to keep the assembly process as simple as possible, we seek to produce as few parts as possible and thus are motivated to partition P into the fewest pieces, each of which is “small” in some sense. In other applications, we seek to cover P with the fewest small pieces, i.e., the pieces may overlap, but must be contained in P .

Formal investigation of this class of partitioning/covering problems has been very recent [3] and has focused on the 2-dimensional case. A polygon Q is *small* or is a *small piece* if it fits inside an axis-parallel unit square. A collection $\mathcal{Q}$ of small polygons (which we typically refer to as *small pieces*) is a *small cover* of a polygon P if $P = \bigcup_{Q \in \mathcal{Q}} Q$. Note that each $Q \in \mathcal{Q}$ is a subset of P .

A small cover $\mathcal{Q}$ of P is a *small partition* of P if the pieces of $\mathcal{Q}$ are interior-disjoint. The optimization problems SMALLPARTITION and SMALLCOVER seek to minimize the number of pieces in a small partition or a small cover of an input polygon P . When the input polygon P is simple, Abrahamsen and Rasmussen give a 13-approximation algorithm [3, Table 1] for SMALLPARTITION with running time $\mathcal{O}(n^2 + \text{OPT} \log n)$, where n is the number of vertices of P and OPT is the number of pieces in the optimal partition of P . Abrahamsen and Stade [?] show that both SMALLCOVER and SMALLPARTITION are NP-HARD even in simple orthogonal polygons. In private communication (2025), we have learned of the following equivalence, which is proven in a paper submitted to SoCG 2026 (authors redacted):

► **Lemma 1.1.** [1] *Let $\mathcal{Q}$ and $\mathcal{Q}'$ denote an optimal small cover and partition of a simple polygon P respectively. Then, $|\mathcal{Q}| = |\mathcal{Q}'|$.*

Lemma 1.1 immediately implies that the 13-approximation for SMALLPARTITION [3] is a 13-approximation for SMALLCOVER. In this paper, we give a 4-approximation algorithm for SMALLCOVER (and therefore, also for SMALLPARTITION).

► **Theorem 1.2.** *Consider a simple polygon $P \subseteq \mathbb{R}^2$. There is an algorithm running in $\mathcal{O}(n \cdot \text{OPT} + \text{OPT}^2)$ time that returns a small cover of P with at most $4 \cdot \text{OPT}$ pieces.*

We prove this result in Section 2. Our algorithm first covers the boundary of P (hereon ∂P) using a similar greedy strategy as [3]. We then employ a simple line-sweep algorithm covering the left-most uncovered point in each iteration with “maximal” small pieces. While its correctness involves non-trivial structural results of our greedy boundary cover (see Lemma 2.2), the algorithm itself is straightforward to implement; we provide an implementation and run experiments in Section 4.

In 3D printing, it is typically much more economical to print several pieces at once as long as they altogether fit inside the printing volume [2, 19, 22, 18]. We therefore model fabricable substructures as disconnected regions that lie inside a unit hypercube and study the decomposition of a given shape by such regions. Consider a region $Q \subseteq \mathbb{R}^d$. We say Q is small (or that it is a *small region*) if it fits inside an axis-parallel unit hypercube. Note that unlike SMALLCOVER, we do not require Q to be connected; we use “small regions” instead of “small pieces” to distinguish these two cases. A collection $\mathcal{Q}$ of small regions is a *disconnected*

small cover of a polyhedron P if $P = \bigcup_{Q \in \mathcal{Q}} Q$; a *disconnected small partition* of P is a cover with interior disjoint regions. Our second set of problems of interest is d -DISCOSMALLCOVER and d -DISCOSMALLPARTITION: given a (possibly non-simple) polyhedron P in $\mathbb{R}^d$, what is the minimum number of pieces in a disconnected small cover (resp. partition) $\mathcal{Q}$ of P ?

► **Remark 1.3.** We observe that d -DISCOSMALLCOVER is precisely the problem of covering a polyhedron $P \subseteq \mathbb{R}^d$ with axis-aligned unit hypercubes, i.e., finding a family $\mathcal{S}$ of such cubes with $P \subseteq \bigcup_{S \in \mathcal{S}} S$. Geometric covering problems of this type have been extensively studied. In particular, covering a *finite* point set with the minimum number of unit balls in $\mathbb{R}^d$ (typically called d -BOXCOVER or d -DISCOVER) has a history of more than 40 years, beginning with early complexity results [13] and followed by a long line of approximation algorithms [16, 15, 4, 12, 8]. More recently, the problem of covering a *continuous* region with unit balls chosen from a *prescribed candidate set* has also been investigated [5, 9].

► **Remark 1.4.** d -DISCOSMALLCOVER and d -DISCOSMALLPARTITION are NP-HARD even when $d = 2$ and P is a simple orthogonal polygon. This follows immediately from the proof of hardness of SMALLCOVER and SMALLPARTITION in [?]; their construction does not use the fact that small pieces are connected.

Given an optimal disconnected small cover $\mathcal{Q} = \{Q_1, Q_2, \dots, Q_k\}$ of P , note that $\mathcal{Q}' = \{Q_i \setminus \bigcup_{1 \leq j < i} Q_j \mid i = 1, 2 \dots k\}$ is a small partition of P . Mirroring Lemma 1.1, we have:

► **Observation 1.5.** Let $\mathcal{Q}$ and $\mathcal{Q}'$ denote an optimal disconnected small cover and an optimal disconnected small partition of a polyhedron $P \in \mathbb{R}^d$, respectively. Then, $|\mathcal{Q}| = |\mathcal{Q}'|$.

We provide a general 2^{d-1} -approximation algorithm for d -DISCOSMALLPARTITION by showing that the problem can be reduced to a series of 1D problems by repeated projection onto relevant hyperplanes, losing a multiplicative 2-factor for each dimension; we then show that it suffices to use a simple greedy sweep algorithm to solve each 1D instance optimally. In general, this algorithm runs in $\text{poly}(\text{OPT}, n)^d$ time. This approach can be seen as a generalization of a sweep algorithm proposed by Gonzalez in [15] for d -BOXCOVER. Unlike their algorithm, we are required to cover a continuum, and OPT can be arbitrarily large when compared to the input size. We provide the following two efficient algorithms for the practically relevant cases of $d = 2$ and $d = 3$ (see Sections 3.3 and 3.4 for details).

► **Theorem 1.6.** For an n -vertex polygon $P \subseteq \mathbb{R}^2$ there is an $\mathcal{O}((n + \text{OPT}) \log n)$ time algorithm that returns a disconnected small partition of P with at most $2 \cdot \text{OPT}$ pieces.

► **Theorem 1.7.** For a polyhedron $P \subseteq \mathbb{R}^3$ with f triangular faces there is an $\mathcal{O}(f \cdot \text{OPT} \log f)$ time algorithm that returns a disconnected small cover of P with at most $4 \cdot \text{OPT}$ pieces.

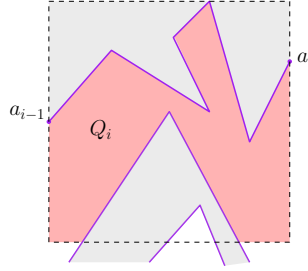
We present our experimental results in Section 4. Code and data are made available via HotCRP*. We show that the 4-approximation algorithm for SMALLCOVER can be realized easily in practice and requires no sophisticated geometric data structures. For 2-DISCO SMALLCOVER, which requires exact geometric primitives and exact construction of line intersections, we implement a modification of this approach (which results in a worse theoretical runtime but the same approximation guarantee) and show that it works well in practice. Our test instances are taken from the Salzburg Database of Geometric Inputs [11]. We compare resulting solutions with lower bounds obtained from a constraint-programming formulation of a set cover instance in which the elements are witnesses inside P and the sets correspond to small regions.

* After the review phase, the code will be moved to a permanent repository.

2 A 4-Approximation for SmallCover

We can assume that the input polygon P is not itself small. For points $p, q \in \partial P$, the (contiguous) boundary of P in the clockwise direction from p to q is denoted $\partial P[p, q]$. Let OPT denote the optimal number of pieces in a small cover or, equivalently (Lemma 1.1) a small partition of P . We utilize the following lemma from [3]:

► **Lemma 2.1.** [3, Lemma 4] *Let $a_0 = a_k$ be an arbitrary point on ∂P and let $\mathcal{Q}_\partial = \{Q_1, \dots, Q_k\}$ be a set of small pieces where Q_i covers the interval $I_i = \partial P[a_{i-1}, a_i]$ and for $i = 1, \dots, k-1$, the endpoint a_i is chosen so that a piece of bounded size cannot cover a larger interval starting at a_{i-1} . Then, $k = |\mathcal{Q}_\partial| \leq 2 \cdot \text{OPT} - 1$.*

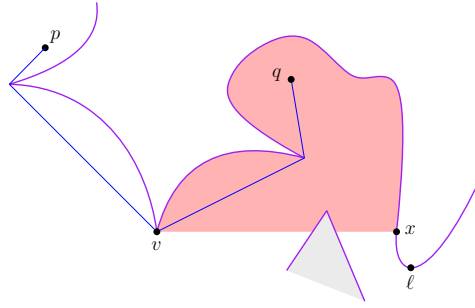


■ **Figure 1** The piece Q_i is obtained from a unit square containing the interval I_i from a_{i-1} to a_i .

Observe that the pieces $\mathcal{Q}_\partial = \{Q_i \mid 1 \leq i \leq k\}$ partition ∂P into $\mathcal{I}_\partial = \{I_i \mid 1 \leq i \leq k\}$. Constructing $\mathcal{I}_\partial$ takes $\mathcal{O}(n + \text{OPT})$ time [3, Lemma 5]. By construction, the width or height of I_i , where $1 \leq i \leq k-1$, is 1. Since we are looking for a cover of P and not a partition, we modify $\mathcal{Q}_\partial$ a little: first, we no longer enforce that $a_k = a_0$ and extend a_k along ∂P until I_k has width or height 1. Now, consider any $I_i \in \mathcal{I}_\partial$ and let S be any unit square containing I_i . Let Q_i denote the connected component of $S \cap P$ that contains I_i ; we let $\mathcal{Q}_\partial := \{Q_i \mid 1 \leq i \leq k\}$. See Figure 1 for an illustration. Since each $I_i \subseteq Q_i$, the pieces $\mathcal{Q}_\partial$ cover ∂P ; we therefore refer to these as *boundary pieces*. Given I_i , constructing Q_i can clearly be done in $\mathcal{O}(n)$ time; therefore, constructing $\mathcal{Q}_\partial$ takes $\mathcal{O}(n \cdot \text{OPT})$ time. We now show that the bounding box of a set of points in P uncovered by these boundary pieces is a witness to whether they can be covered by the same small piece. For two points $p, q \in P$, we let $SP(p, q)$ denote the euclidean shortest path within P between them. We use $x(p)$ and $y(p)$ to denote the x - and y -coordinate of a point $p \in \mathbb{R}^2$. We use $BB(\cdot)$ to denote the axis-aligned bounding box.

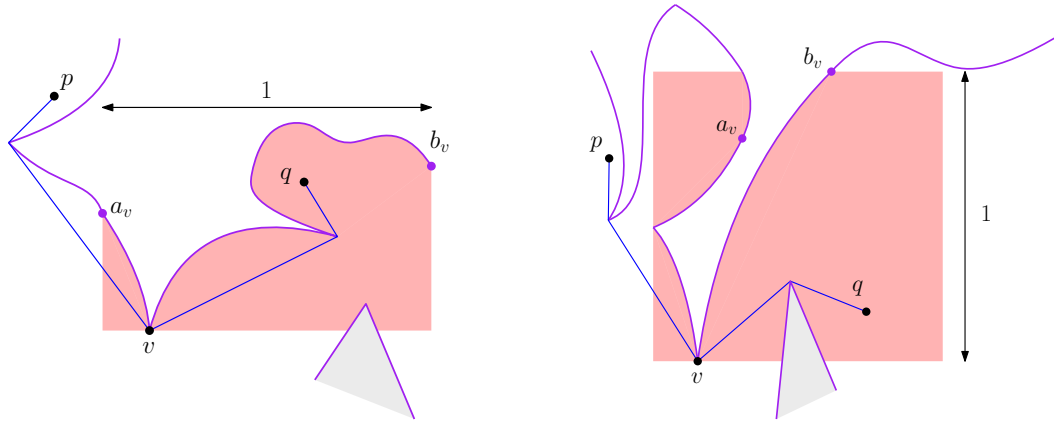
► **Lemma 2.2.** *Consider two points $p, q \in Q \cap P$ that are within a small piece Q . If $SP(p, q) \not\subseteq BB(p, q)$, then at least one of p or q is covered by a boundary piece $Q_i \in \mathcal{Q}_\partial$.*

Proof. Consider two points p and q which satisfy our premise: i.e., they can be covered by a small piece Q and $SP(p, q) \not\subseteq BB(p, q)$. Then, $SP(p, q)$ contains a point that has an x - or y -coordinate which is either smaller or larger than both p and q . We assume that $SP(p, q)$ contains a point with lower y -coordinate than p and q , the other cases follow symmetrically. Let v be the point with smallest y -coordinate in $SP(p, q)$. Clearly, v is a reflex vertex of P and is therefore covered in some interval I_v corresponding to a $Q_v \in \mathcal{Q}_\partial$. Let ℓ denote a point with the lowest y -coordinate in I_v . We will show that Q_v contains either p or q by considering two cases: either $y(\ell) < y(v)$ or $y(\ell) = y(v)$ (note that $y(\ell) \leq y(v)$ since $v \in I_v$).



141 **Figure 2** Illustration of Case 2.2.1. The boundary of the polygon is in purple while $SP(p, q)$ is
 142 marked blue. The polygon P_v is the red region. The gray region lies outside the polygon.

143 **► Case 2.2.1.** Assume that $y(\ell) < y(v)$. We assume that $\partial P[v, \ell] \subseteq I_v$: i.e., ℓ appears after
 144 v in the clockwise ordering of ∂P . The other case is symmetric. Since v has the lowest
 145 y -coordinate in $SP(p, q)$, we have $\ell \notin SP(p, q)$. Therefore, the horizontal line through v
 146 intersects $\partial P[v, \ell] \setminus SP(p, q)$; let x be the point closest to v in this intersection. Let P_v denote
 147 the component of $BB(\partial P[v, x]) \cap P$ containing $\partial P[v, x]$ (see Figure 2 for an illustration).
 148 Since $\partial P[v, x] \subseteq I_v$, we have $P_v \subseteq Q_v$. Both $SP(p, v)$ and $SP(v, q)$ lie above $\vec{vx}$, so either
 149 $SP(p, v)$ or $SP(v, q)$ is contained in $BB(\partial P[v, x])$ and therefore in P_v . This shows that
 150 either p or q is in Q_v . $\lrcorner$



151 **Figure 3** Illustration of Case 2.2.2. The boundary of the polygon is in purple while $SP(p, q)$ is
 152 marked blue. The red region is a subset of Q_v . The gray region lies outside the polygon.

153 **► Case 2.2.2.** Now, assume that $y(\ell) = y(v)$. We further break this up into two cases based
 154 on whether the width or height of $I_v = \partial P[a_v, b_v]$ is 1. Let x_b, x_t, y_b , and y_t denote points
 155 with minimum and maximum x - and y -coordinates in $SP(p, q)$ respectively. See Figure 3.
 156 *Subcase (a).* Assume that the width of I_v is 1. Let $x_1 = \min\{x(a_v), x(b_v)\}$ and $x_2 =$
 157 $\max\{x(a_v), x(b_v)\}$. Since p and q are covered by the small piece Q , $x_t - x_b \leq x_2 - x_1 = 1$.
 158 Therefore, either $SP(p, v)$ lies to the right of the line $x = x_1$ or $SP(v, q)$ lies to the left of
 159 $x = x_2$. Moreover, since $SP(p, q)$ lies above the horizontal line through v , either $SP(p, v)$ or
 160 $SP(v, q)$ is contained in $BB(I_v) \subseteq BB(Q_v)$. This implies that either p or q is in Q_v .
 161 *Subcase (b).* Assume that the height of I_v is 1. Since $y(\ell) = y(v)$, we have that either
 162 $y(a_v)$ or $y(b_v)$ is $y(v) + 1$. Since p and q is covered by the small piece Q and v is the lowest
 163 point in $SP(p, q)$, we have $[y_b, y_t] \subseteq [y(v), y(v) + 1]$. Recall that Q_v was constructed using

164 a unit square which contained I_v , let S be this square: i.e., Q_v is the component of $S \cap P$
 165 containing I_v . Let x_1 and x_2 denote the x -coordinate of the leftmost and rightmost vertices
 166 of S respectively. As $x_t - x_b \leq 1$, at least one of $SP(p, v)$ or $SP(v, q)$ lies between $x = x_1$
 167 and $x = x_2$; therefore, one of these paths lie in S . This shows that either p or q is in Q_v . $\lrcorner$

168 This completes the proof of our lemma. $\blacktriangleleft$

169 For a point $p \in P$, let S_p (resp., S'_p) denote the unit square having p its bottom-left (resp.,
 170 top-left) vertex, and let Q_p (resp., Q'_p) denote the component of $S_p \cap P$ (resp., $S'_p \cap P$)
 171 containing p . Following this simple consequence of Lemma 2.2, we prove Theorem 1.2.

172 **► Corollary 2.3.** *Consider points $p, q \in P$ that are uncovered by pieces in $\mathcal{Q}_\partial$, where*
 173 *$x(p) \leq x(q)$, and suppose that p and q are contained in the same small piece. Then*
 174 *$q \in Q_p \cup Q'_p$.*

175 **Proof.** By Lemma 2.2, $SP(p, q) \subseteq BB(p, q)$. Since $x(p) \leq x(q)$, we know p is a left vertex of
 176 $BB(p, q)$ and thus that $BB(p, q)$ is a subset of either S_p or S'_p , and hence $q \in Q_p \cup Q'_p$. $\blacktriangleleft$

177 **Proof of Theorem 1.2.** We first construct $\mathcal{Q}_\partial$, our boundary pieces, in $\mathcal{O}(n \cdot \text{OPT})$ time;
 178 note that $|\mathcal{Q}_\partial| \leq 2 \cdot \text{OPT}$ (see the discussion prior to Lemma 2.2). We use an iterative greedy
 179 sweep-line algorithm to cover the region of the polygon uncovered by $\mathcal{Q}_\partial$. Let $\mathcal{Q}_\circ$ denote
 180 the set of pieces we use; we initialize $\mathcal{Q}_\circ$ with $\emptyset$ and add two pieces to it in each iteration.
 181 Note that the region of P uncovered by $\mathcal{Q}_\partial$ is a collection of (orthogonal) polygons whose
 182 vertices are defined by a union of $\mathcal{O}(\text{OPT})$ squares; the complexity of the uncovered region is
 183 therefore $\mathcal{O}(\text{OPT})$ [7].

184 In the i^{th} iteration, let p_i be a leftmost vertex of the uncovered region. Consider the
 185 small pieces Q_{p_i} and Q'_{p_i} as in Corollary 2.3; we update $\mathcal{Q}_\circ \leftarrow \mathcal{Q}_\circ \cup \{Q_{p_i}, Q'_{p_i}\}$. Repeat this
 186 procedure until all points in P are covered. Let k denote the number of iterations of this
 187 procedure; note that $|\mathcal{Q}_\circ| = 2k$. Consider $W = \{p_i \mid 1 \leq i \leq k\}$ and two points $p_i, p_j \in W$
 188 where $i < j$. By construction, $p_j \notin Q_{p_i} \cup Q'_{p_i}$ and therefore, by Corollary 2.3, no small piece
 189 covers both p_i and p_j . Therefore, W is a set of *witness points* (i.e., no two points in W
 190 can be covered with the same piece), so $|W| = k \leq \text{OPT}$. This implies that $|\mathcal{Q}_\circ| \leq 2 \cdot \text{OPT}$;
 191 overall, our small cover $\mathcal{Q} = \mathcal{Q}_\partial \cup \mathcal{Q}_\circ$ contains at most $4 \cdot \text{OPT}$ pieces. Since each iteration
 192 takes $\mathcal{O}(n + \text{OPT})$ time, our runtime follows. See Figures 8 and 9 for examples of the pieces
 193 constructed by this algorithm. $\blacktriangleleft$

194 3 Approximation Algorithms for DiscoSmallPartition

195 In this section, we describe approximation algorithms for d -DISCOSMALLCOVER and d -
 196 DISCOSMALLPARTITION. Since the optimal number of regions in a disconnected small
 197 cover is the same as that in an optimal disconnected small partition (see Observation 1.5),
 198 approximations for one problem are immediately valid for the other. Since partitioning is
 199 more relevant in practical settings such as 3D printing, we state and prove our results using
 200 partitions. We first show that the problem can be reduced to a series of 1D problems, losing
 201 a multiplicative 2-factor for each dimension. We then show that it suffices to use a simple
 202 greedy sweep algorithm to solve each 1D instance optimally. Naively, each projection can be
 203 performed in $\text{poly}(n, \text{OPT})$ time in both cases with a series of projections of section of the
 204 polygon/polyhedron into 1D. This immediately gives us a 2^{d-1} -approximation algorithm for
 205 dimension d with runtime in $\text{poly}(n, \text{OPT})^{d-1}$. A similar approach was used by Gonzalez in
 206 [15] to obtain a 2^{d-1} -approximation for d -BOXCOVER. Our results generalize this idea to

covering continuous domains. Our goal in this section is to design efficient algorithms for the practically relevant cases of $d = 2$ and 3 (i.e., to prove Theorems 1.6 and 1.7 respectively).

► **Remark 3.1.** Consider a polyhedron $P \subseteq \mathbb{R}^d$. The regions obtained by overlaying a unit grid on P is within 2^d of OPT – any small region intersects at most 2^d cells of this grid. We show here that we can produce disconnected small partition which are 2^{d-1} of OPT .

3.1 Optimal Cover into Unit Intervals

Consider a collection $\mathcal{I}$ of n intervals on the x -axis. We allow $\mathcal{I}$ to contain intervals of two types: $[a, b]$ or $[a, b)$. A collection $\mathcal{I}_u$ of unit intervals *covers* $\mathcal{I}$ if $\bigcup_{I \in \mathcal{I}} I \subseteq \bigcup_{J \in \mathcal{I}_u} J$. We wish to compute the minimum number of unit intervals required to cover $\mathcal{I}$. We first preprocess $\mathcal{I}$ to get an equivalent instance with disjoint intervals by replacing any overlapping pair I and I' in $\mathcal{I}$ with the interval $I \cup I'$. Note that this preprocessing can be done in $\mathcal{O}(n \log n)$ time. We assume hereafter that $\mathcal{I}$ contains disjoint intervals. We show that a simple greedy cover of $\mathcal{I}$ (see **GreedyIntervalCover**) returns an optimal unit interval cover of $\mathcal{I}$.

We first define two subroutines for **GreedyIntervalCover** which can be easily implemented in $\mathcal{O}(\log n)$ time for intervals[†]. In the higher dimensional algorithms we describe later, we show how to implement these subroutines without explicitly constructing $\mathcal{I}$.

- (i) **INTERSECT**(s): Return YES if s lies in some interval of $\mathcal{I}$; return NO otherwise.
- (ii) **NEXT**(s): Return s if **INTERSECT**(s) returns YES; return the minimum $r \in \bigcup_{I \in \mathcal{I}} I$ where $r > s$ otherwise.

The **GreedyIntervalCover** algorithm then proceeds by placing unit intervals from left to right, using **NEXT**($\cdot$) to find the next placement. We give pseudocode in Section A.

► **Lemma 3.2** (*). *Given a collection $\mathcal{I}$ of n disjoint intervals on the x -axis in sorted order, **GreedyIntervalCover** returns an optimal unit interval cover of $\mathcal{I}$ in $\mathcal{O}(\text{OPT} \cdot \log n)$ time.*

► **Remark 3.3.** Note that intervals returned by **GreedyIntervalCover** are interior disjoint.

3.2 Dimension Reduction

Consider a given (possibly disconnected) region $P \subseteq \mathbb{R}^d$. For a point $p \in P$, we use $p[i]$ to denote its i^{th} coordinate. Let P_t be the set of points p in P such that $p[0] \in [t, t+1)$.

► **Lemma 3.4** (*). *Given a region $P \subseteq \mathbb{R}^d$ with an optimal d -DISCOSMALLPARTITION of size OPT and a collection of optimal d -DISCOSMALLPARTITION solutions $\mathcal{Q}_t$ for each P_t with $t \in \mathbb{Z}$. The following holds:*

$$\left| \bigcup_{t \in \mathbb{Z}} \mathcal{Q}_t \right| \leq 2 \cdot \text{OPT}.$$

► **Lemma 3.5** (*). *Consider a region $P \subseteq [0, 1]^{d-1} \times \mathbb{R}$ and let $\mathcal{I}$ denote its projection onto the d^{th} -axis. Let $\mathcal{I}_u$ be the optimal unit interval cover of $\mathcal{I}$ that **GreedyIntervalCover** returns. Then, $\{[0, 1]^{d-1} \times I \mid I \in \mathcal{I}_u\}$ is an optimal disconnected small partition of P .*

[†] Note: **INTERSECT**($\cdot$) does not appear directly in **GreedyIntervalCover**, but is used implicitly by **NEXT**($\cdot$).

242 3.3 A 2-Approximation for 2-DISCOsmallPartition

243 Consider a polygon $P \subseteq \mathbb{R}^2$. We assume that vertices are stored in order on each component
 244 of the boundary of P , so that the interior of P is to the right of edges. Translate P until the
 245 smallest x -coordinate of a point in P is 0. We also assume that exactly one vertex v of P has
 246 $x(v) = 0$ for convenience. Let $L \in \mathbb{Z}_+$ be the smallest integer larger than the x -coordinate
 247 of all points in P . We define columns $C_i = [i, i + 1] \times \mathbb{R}$ for $0 \leq i \leq L - 1$ and, as in
 248 Lemma 3.4, let $P_i = C_i \cap P$. From Lemmas 3.2 and 3.5, we know that a greedy cover of P_i is
 249 optimal, so performing greedy cover on each P_i of P yields a 2-approximation. However, only
 250 Lemma 3.2 is constructive, so implementing an algorithm is still needed. Specifically, we want
 251 to develop an algorithm which matches the runtime specified in Theorem 1.6. In Section 4,
 252 we implement a much simpler 2-approximation algorithm for 2-DISCOsmallCOVER which
 253 has theoretically worse runtime. However, as we show in that section, it performs very well
 254 in practice: it computes solutions for large polygons in fractions of a second.

255 We process each P_i sequentially from left to right. We begin by sorting the vertices of P
 256 in x -order; this is stored in a list V_P . Each vertex in V_P points to its two incident edges. We
 257 are going to march through V_P with a vertical sweep line s . We use $\text{POS}(s)$ to denote the
 258 current x -coordinate of s . We maintain two binary search trees associated with s : the *head*
 259 *tree* T_h and the *tail tree* T_t . We maintain the vertices in P_i in a list V_i sorted by y -coordinate.

260 Sweeping across P

261 The sweep line s starts with $\text{POS}(s) = 0$. Add all edges incident on the left most vertex
 262 of P (i.e., $V_P[0]$) to both T_h and T_t sorted by y -coordinate order as in classical line sweep
 263 algorithms – see [6] and [10, Chapter 2]. March through the vertices in V_P until we reach
 264 the vertex with the largest x -coordinate less than 1 (note that this step might involve the
 265 sweep line not moving at all). As we march through a vertex v , we first insert v to the vertex
 266 list V_0 to maintain sorted y order. Then, we consider v 's two incident edges. If v is the left
 267 endpoint of an edge e , then we add e to T_h by y -coordinate order. This can be achieved with
 268 a simple binary search comparing whether v lies above or below each active edge in T_h . If v
 269 is the right endpoint, then remove e from both T_h and T_t . These operations take $\log n$ time
 270 (see [6] and [10, Chapter 2]). Note the asymmetry – unlike T_h where we process both edge
 271 addition and deletion, we only perform edge deletion from T_t during this march.

272 The sweep line s is now at the vertex v immediately before $x = 1$ (v is processed too).
 273 We update $\text{POS}(s)$ to 1. The obvious discrepancy between the location of s and $\text{POS}(s)$ is
 274 intentional – while $x(v) \leq \text{POS}(s)$, there are no vertices between $x = x(v)$ and $x = \text{POS}(s)$.
 275 We will use $\text{POS}(s)$ to infer the current column we are processing.

276 We perform our greedy cover in this first column C_0 . We will refer to this as *processing*
 277 P_0 (detailed in the following paragraphs). Once P_0 is processed, we update T_t using V_0 until
 278 it reaches the same vertex as head. We delete vertices from V_0 as we update T_t . Once T_t
 279 reaches T_h , we repeat the process of marching forward and processing the remaining columns
 280 C_i for $1 \leq i < L$. See Figure 4 for an illustration. We conclude this section with a remark.

285 ► **Remark 3.6.** When processing P_i , note that T_h contains the set of edges that intersect
 286 $x = i + 1$ while T_t contains the set of edges that intersect both $x = i$ and $x = i + 1$ (we refer
 287 to such edges as *spanning edges*).

288 **Processing a column.** Consider $P_i = C_i \cap P$. We have maintained one list of vertices V_i and
 289 two trees (T_h and T_t). Using this information, we will implement **GreedyIntervalCover** with-
 290 out explicitly constructing $\mathcal{I}$, the projection of P_i on the y -axis, and instead provide imple-

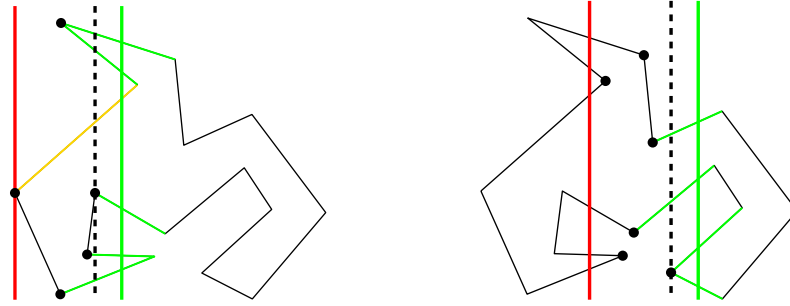


Figure 4 The edges stored by T_h are given in green, the edges stored by both T_t and T_h are in yellow. The heavy vertical green (resp. red) line is $x = i + 1$ (resp. $x = i$). The dotted line represents the location of the sweep line s while $\text{POS}(s) = i + 1$. Marked vertices are those in V_i . *Left: Status for P_0 . Right: Status for P_1 .*

mentations of $\text{INTERSECT}(\cdot)$, and $\text{NEXT}(\cdot)$.

Before implementing the queries, we first perform some preprocessing. Let $n_i = |V_i|$. We know that $v \in V_i$ lies within this column C_i and is incident on two edges of P . For each edge e , first compute the intersection $e \cap C_i$ and then project this onto the y -axis to form an interval I_e . We carefully define whether the right endpoint b of I_e is part of I_e : if the line $y = b$ is the right of e , we include b in I_e ; otherwise we do not. Let $\mathcal{I}_i$ represent the collection of all such I_e over all $v \in V_i$. In $\mathcal{O}(n_i \log n_i)$ time, we construct a disjoint representation of the union of all $I_e \in \mathcal{I}_i$, marking the endpoints of each component with the edge e that produced it. See Figure 5, *Far Left*. We first describe $\text{INTERSECT}(\cdot)$ and then $\text{NEXT}(\cdot)$.

► **Lemma 3.7** ($\star$). *Given V_i , T_h , T_t , and $\mathcal{I}_i$, $\text{INTERSECT}(s)$ can determine if there is a point in $p \in P_i$ with $y(p) = s$ in $\mathcal{O}(\log n)$ time.*

We give the full details in Section A. Let ℓ_s denote the horizontal segment between (i, s) and $(i + 1, s)$. To determine if $\ell_s \cap P \neq \emptyset$, we perform a binary search to check if s lies in $\mathcal{I}_i$, a series of binary searches on T_h and T_t to check for an intersection with a edge of P , and finally on both $\mathcal{I}_i$ and T_t to check if $\ell_s \subseteq P$. See Figure 5 for an overview of cases.

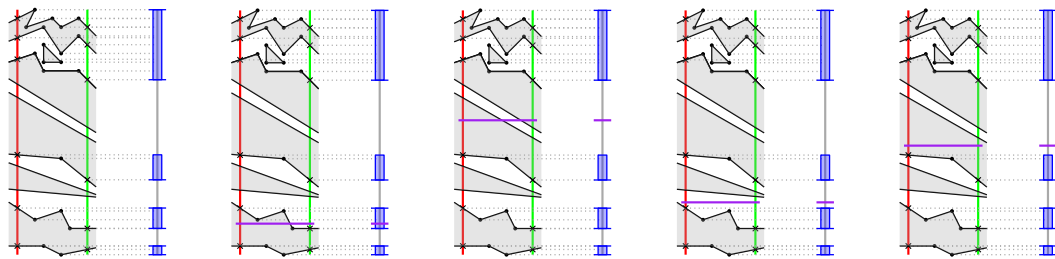


Figure 5 Illustration of cases in Lemma 3.7. The lines $x = i + 1$ and $x = i$ are in green and red respectively. P_i is shown on the left of each image; gray regions lie outside P_i . In the right of each image is $\mathcal{I}_i$ in blue. The query segment ℓ_s is given in purple. *Far Left: The construction of $\mathcal{I}_i$. Note that the third interval is partially open. Middle Left: s lies in $\mathcal{I}_i$. Middle: ℓ_s intersects a spanning edge of P_i . Middle Right: $\ell_s \subseteq P_i$. Far Right: $\ell_s \subseteq \mathbb{R}^2 \setminus P_i$.*

► **Lemma 3.8.** *Given V , T_h , T_t , and $\mathcal{I}_i$, $\text{NEXT}(s)$ can return s if $\text{INTERSECT}(s)$ returns YES or the y -coordinate of the lowest point of P_i that lies strictly above ℓ_s in $\mathcal{O}(\log n)$ time.*

Proof. First, perform `INTERSECT( $s$ )`; this takes $\mathcal{O}(\log n)$ time by Lemma 3.7. If `INTERSECT( $s$ )` returns YES, `NEXT( $s$ )` returns s . Otherwise, we need to search for the point r , the lowest point of P_i which lies above ℓ_s . Clearly, r is either (i) a $v \in V_i$; or (ii) the intersection of an edge incident on a $v \in V_i$ with $x = i$ or $x = i + 1$; or (iii) an intersection of a spanning edge of P with $x = i$ or $x = i + 1$.

The edge of ℓ_s above it in T_t determines the lowest intersection of form (iii). For (i) and (ii), we use $\mathcal{I}_i$: using binary search we find the endpoint in $\mathcal{I}_i$ which is immediately above s . Return r as the lower y -coordinate among these two. Since ℓ_s is not in P_i (we are in the case where `INTERSECT( $s$ )` returns NO), there is point in P_i immediately above ℓ_r . ◀

With these two functions implemented, we use `GreedyIntervalCover` to process P_i . Continue onto the next column C_{i+1} and repeat until all of P has been covered.

Correctness and runtime analysis. Since we have implemented `INTERSECT( $\cdot$ )` and `NEXT( $\cdot$ )` correctly (see Lemmas 3.7 and 3.8), `GreedyIntervalCover` returns the optimal set of unit intervals covering the y -projection of P_i (see Lemma 3.2) for each P_i with $0 \leq i \leq L - 1$. Using Lemma 3.5, these unit intervals are converted to an optimal disconnected small partition of each P_i ; Lemma 3.4 shows that the collection of these small regions is a 2-approximation for P . We are just left with showing that the desired runtime given in Theorem 1.6 is met.

► **Observation 3.9** ($\star$). *The total amortized work of marching the sweepline and maintaining T_h and T_t is $\mathcal{O}(n \log n)$.*

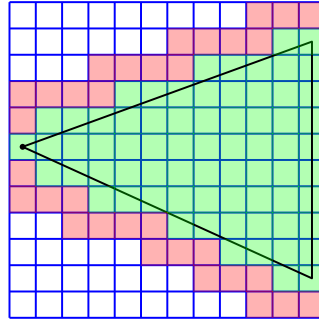
► **Observation 3.10** ($\star$). *The total amortized work of maintaining $\mathcal{I}_i$ and V_i is $\mathcal{O}(n \log n)$.*

We can now prove Theorem 1.6. Since `NEXT( $\cdot$ )` takes $\mathcal{O}(\log n)$ time for each call, `GreedyIntervalCover` takes $\mathcal{O}(\text{OPT}_i \cdot \log n)$ time in each P_i where OPT_i denotes the size of an optimal disconnected small partition of P_i . As $\sum_{i=0}^{L-1} \text{OPT}_i \leq 2 \cdot \text{OPT}$, the total time taken by `GreedyIntervalCover` is $\mathcal{O}(\text{OPT} \cdot \log n)$. From Observations 3.9 and 3.10, we infer that we require $\mathcal{O}(n \cdot \log n)$ time to maintain all required data structures. This gives us an overall runtime of $\mathcal{O}((n + \text{OPT}) \log n)$ as required.

3.4 A 4-Approximation for 3-DISCO SMALL PART

Consider a polyhedron $P \subseteq \mathbb{R}^3$ with triangulated faces. We assume that each face is oriented so that its normal points towards the interior of P . We let f denote the number of faces of P . In this section, we prove Theorem 1.7: we describe a 4-approximation algorithm for 3-DISCO SMALL PARTITION that runs in $\mathcal{O}(f \cdot \text{OPT} \log f)$ time. Let $L \in \mathbb{Z}_+$ be the smallest integer larger than $x(p)$ and $y(p)$ over all $p \in P$. We will perform the dimension reduction of Lemma 3.4 twice, once in the x direction to get a set of polyhedral domains P_i for all $0 \leq i < L$, and once again on each P_i in the y direction to obtain a set of $P_{i,j}$ for all $0 \leq j < L$. Note that this induces a $L \times L$ grid on the xy -plane. It is crucial that we only consider this grid implicitly since it can be as large as $\mathcal{O}(\text{OPT}^2)$. Therefore, we will only devote a piece of memory to square (i, j) if there is at least one point of P inside the corresponding column $C_{ij} := [i, i + 1] \times [j, j + 1] \times \mathbb{R}$. A column $C_{i',j'}$ is a *neighbor* of C_{ij} if its corresponding square (i', j') is in the 8-neighborhood of (i, j) . To find the non-empty $P_{i,j}$, we consider compiling a set of faces which touch each column $C_{i,j}$. For $C_{i,j} \cap P = P_{i,j} \neq \emptyset$, we have $C_{i,j} \cap \partial P \neq \emptyset$, so it suffices to check if faces of P intersect $C_{i,j}$. We call a C_{ij} *active* if $P_{ij} \neq \emptyset$.

► **Lemma 3.11.** *Computing the set of active columns, along with the collection of faces that intersect each such column can be done in $\mathcal{O}(f \cdot \text{OPT})$ time.*



354 **Figure 6** Green cells are the columns $C_{i,j}$ containing points of the projected face. Red cells are
 355 checked by BFS but do not contain points of the projected face, thus causing BFS to terminate.

358 **Proof.** We iterate through all f faces of P , projecting a face F to the xy -plane, then checking
 359 off all $C_{i,j}$ with non-empty intersection with F . Since all faces are triangles, we can simply
 360 perform an $\mathcal{O}(1)$ check for intersection with each column of interest, adding F to its ongoing
 361 list of intersecting faces or starting a new list if $C_{i,j}$ has not yet been added as active.

362 We now show how to avoid checking for an intersection against all $C_{i,j}$ (this would incur
 363 $\mathcal{O}(\text{OPT}^2)$ time per face). Let F_{xy} denote the projection of F onto the xy -plane – note that
 364 F_{xy} is a (possibly degenerate) triangle. We start with the column containing the top-left
 365 corner of F_{xy} and then check if its neighbors intersect F_{xy} . We proceed by performing a
 366 breadth-first search (BFS) on the columns, only advancing with a column's neighbors if
 367 there is non-empty intersection. This often referred to as a flood fill algorithm. Since F_{xy}
 368 is a triangle, the set of all columns intersecting F must be connected, and thus this search
 369 suffices to find all columns that intersect F . Since each column has at most 8 neighbors, we
 370 touch at most 9 times as many columns as those that contain points of f ; therefore $\mathcal{O}(\text{OPT})$
 371 columns. See Figure 6. ◀

372 From Lemma 3.11, we can compute the set of active columns, and for every such column,
 373 the list of faces that intersect it in $\mathcal{O}(f \cdot \text{OPT})$ time. We cover these columns independently
 374 using **GreedyIntervalCover**, and take the union of the column covers as a cover of P . This
 375 gives us the required approximation guarantee for Theorem 1.7 from Lemmas 3.4 and 3.5. For
 376 its required runtime, our goal is to perform $\mathcal{O}(f \cdot \text{OPT} \log f)$ work overall in our invocations
 377 of **GreedyIntervalCover**. More specifically, we want to perform $\mathcal{O}(f \log f)$ work in each of
 378 our unit columns, which will total $\mathcal{O}(f \cdot \text{OPT} \log f)$ work since we only have $\mathcal{O}(\text{OPT})$ active
 379 columns. We show how to achieve this as the last result in this section.

380 ► **Lemma 3.12.** *For the intersection of a polyhedron P with a column $C_{i,j}$, computing an*
 381 *equivalent instance of 1-DISCO SMALLPARTITION can be done in $\mathcal{O}(f \log f)$ time.*

382 **Proof.** Consider $P_{i,j} = C_{i,j} \cap P$. For each face F associated with $C_{i,j}$, we compute I_F , the
 383 projection of each face $F \cap C_{i,j}$ onto the z -axis. In $\mathcal{O}(f \log f)$ time, we compute the disjoint
 384 representation of the union of these intervals as in Section 3.3. Let $\mathcal{I}'_{i,j}$ denote this collection
 385 of intervals with endpoints stored in sorted order. We can use $\mathcal{I}'_{i,j}$ to effectively determine
 386 if a given plane $z = s$ intersects ∂P in $P_{i,j}$; we are left with the case where $z = s$ does not
 387 intersect ∂P in $P_{i,j}$.

388 We march through the endpoints in $\mathcal{I}'_{i,j}$. Note that each endpoint is associated with some
 389 face of P . If the face associated with the top endpoint t of an interval indicates that the
 390 points above it are in P (i.e., its normal has a positive z coefficient), we add the section
 391 from t to the next bottom endpoint b to $\mathcal{I}'_{i,j}$: i.e., we add $[b, t]$ to $\mathcal{I}'_{i,j}$. After completing this

392 march through the endpoints of $\mathcal{I}'_{i,j}$, we call the resulting collection of intervals $\mathcal{I}_{i,j}$. $\mathcal{I}_{i,j}$ is
 393 the required equivalent instance of 1-DISCO SMALLPARTITION. ◀

394 ▶ Remark 3.13. Constructing the equivalent collection of intervals in Lemma 3.12 was
 395 much simpler than our algorithm for 2-DISCO SMALLPARTITION (specifically, designing
 396 INTERSECT($\cdot$) in Lemma 3.7) since we are allowed $\mathcal{O}(f \log f)$ amortized time in each column
 397 (as opposed to only $\mathcal{O}(\log n)$ time in Lemma 3.7). This allows us to charge *spanning faces*
 398 (the faces of P which contain no vertices the column) of each column to each such column.

399 4 Experimental Results

400 In this section, we describe our implementations of the approximation algorithms for SMALL-
 401 COVER and 2-DISCO SMALLPARTITION. Our implementation closely follows the descriptions
 402 of previous sections, but should be seen as a proof-of-concept that the presented algorithms
 403 are indeed implementable without major hidden challenges. No highly optimized geometric
 404 data structures were used, and geometric operations were performed using standard libraries.
 405 We used Python 3.11 for our implementation, `shapely` [14] for geometric operations and
 406 CP-SAT from Google’s OR-Tools [20, 17] for solving constraint programming formulations.
 407 All experiments were performed on Linux workstations equipped with AMD Ryzen 9 5950X
 408 CPUs with 16 cores/32 threads and 128 GB of RAM running Ubuntu 24.04.3. Code and
 409 data are made available via HotCRP. Instances were taken from the Salzburg Database of
 410 Geometric Inputs [11]; see Figure 8. For each polygon, two sizes (of pieces) were chosen
 411 based on the area of the polygon such that a reasonable number of pieces is required to cover
 412 the polygon. We define the *relative area* of an instance to be the ratio $\text{area}/(\text{piece size})^2$.
 413 We used relative areas of 10 and 50, for a total of 378 instances. We first describe an exact
 414 primal-dual approach based on constraint programming which provides us lower bounds.

415 4.1 An Exact Primal-Dual Approach

416 We compare the solutions produced by our algorithms with lower bounds obtained from a
 417 CP-SAT formulation of a set cover problem. The universe consists of point witnesses inside
 418 the polygon, and the objective is to cover these witnesses with the minimum number of small
 419 pieces. Each witness imposes the constraint that at least one of the pieces covering it must be
 420 selected. Because the space of possible pieces is infinite, we construct a finite set of candidate
 421 pieces that suffices to represent an optimal solution. For 2-DISCO SMALLPARTITION, these
 422 candidates can be taken as maximally sized axis-aligned squares (temporarily ignoring that
 423 they will later be intersected with the polygon). The additional connectivity constraint in
 424 SMALLCOVER makes the selection of candidates substantially more challenging; developing a
 425 principled method to generate a sufficient candidate set for SMALLCOVER is an interesting
 426 direction for future work. Here, we generate lower bounds for 2-DISCO SMALLPARTITION
 427 and therefore, for SMALLCOVER.

428 Our set cover formulation is as follows: given a polygon P , a set of witness points $W \subseteq P$
 429 inside P and a set of candidate pieces $\mathcal{Q}$, find the smallest subset of $\mathcal{Q}$ that covers all points
 430 in W . We minimize $\sum_{Q \in \mathcal{Q}} x_Q$ with boolean variables x_Q for each candidate piece under
 431 constraints $\bigvee_{Q \in \mathcal{Q}: w \in Q} x_Q$ for each witness point $w \in W$. This resembles a standard set cover
 432 formulation, which can be solved very efficiently for modest problem sizes using CP-SAT.
 433 The correctness of this formulation requires a careful choice of the unit squares $\mathcal{Q}$. A square
 434 covering multiple witness points can either be pinned by a single point in one of its corners,
 435 or by two points on its boundary (each touching either a vertical or horizontal edge). Thus,

we generate candidate squares by iterating over all points in W and generating squares with that point in each corner, as well as iterating over all pairs of close points and generating squares defined by those points on their boundary. This results in $\mathcal{O}(|W|^2)$ candidate squares, which is manageable for moderate sizes of W . Our implementation starts with an initial set of witnesses equally spaced within the polygon and iteratively refines the witness set by adding points in areas not covered by the current best solution until either no uncovered area remains or a maximum number of witnesses is reached. Witnesses are added by sampling points in uncovered areas using a uniform grid with a resolution proportional to the piece size. Once the witness set exceeds the given maximum size, we prune away witnesses that do not define squares in the current solution to reduce the problem size and make room for new witnesses in uncovered areas. Whenever a new solution is found, we try to convert it into a feasible solution by greedily covering the uncovered area with additional pieces until no uncovered area remains, see Figure 10 for an example. We call this method `DualSolver`.

4.2 Approximation Algorithms and Heuristic Approaches

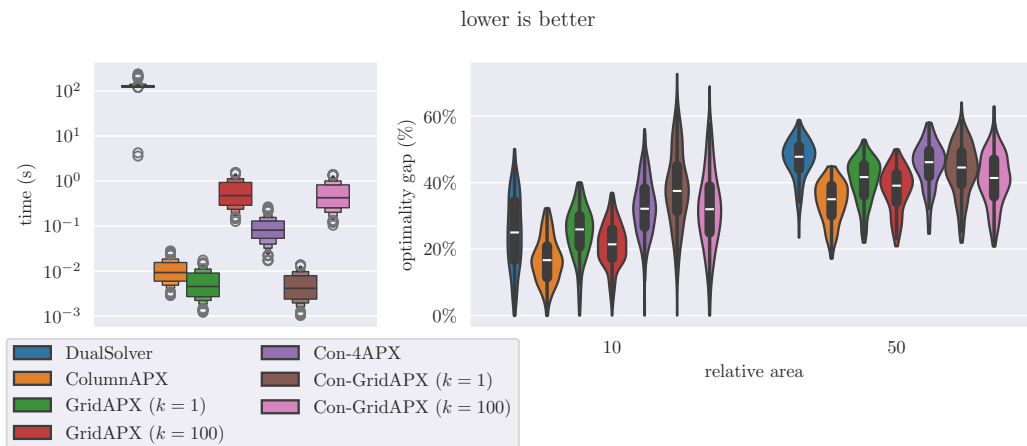
The first two algorithms, `Con-GridAPX` and `GridAPX`, place an axis-aligned grid of the desired resolution over the polygon's bounding box (optionally using several fractional offsets). The number of offsets, denoted by k , is shown in the plots below. For each grid cell whose intersection with the polygon has non-negligible area, we include the intersection as a piece; we repeat this for all offsets and return the offset that yields the fewest pieces. For `SMALLCOVER`, a single intersection may yield multiple pieces if the intersection is disconnected. This approach is simple, robust, and easy to parallelize, but it can generate many pieces when the grid is poorly aligned and it requires a geometric intersection computation for every relevant grid cell. Its running time is therefore dominated by the number of generated cells and intersection tests. By Remark 3.1, this is a 4-approximation for 2-DISCO`SMALLPARTITION`.

`Con-4APX` implements the approach from Section 2 for `SMALLCOVER`. The algorithm begins by greedily placing the largest possible square pieces along the polygon boundary until no further boundary-anchored pieces fit. It then repeatedly selects the bottom-leftmost point p of the remaining uncovered region and places two squares with p as their bottom-left and top-left corners, respectively. This process continues until the region is fully covered. While conceptually simple, the method requires efficiently finding the largest square anchored to the boundary and the largest squares realizable with a given point as a corner.

`ColumnAPX` partitions the polygon into vertical columns and covers each column optimally by repeatedly placing maximal column-aligned squares from bottom to top, removing each placed square from the column's uncovered region. In the worst case, this yields $\mathcal{O}(n \cdot \text{OPT})$ geometric intersection operations. As shown in Section 3.3, the method is a 2-approximation for 2-DISCO`SMALLPARTITION`. With a sweep-line strategy it can be implemented in $\mathcal{O}((n + \text{OPT}) \log n)$ time; see Theorem 1.6. Because that implementation requires exact geometric primitives (see, for example, [21]) and line-intersection computations, it is beyond the scope of this work. Nonetheless, even a naive implementation performs well in practice, while remaining easy to understand and requiring only a few lines of code; a desirable property in practical applications.

4.3 Results

We implemented all algorithms described above and ran them on the selected set of 378 polygonal instances. For the `DualSolver`, we added 5 new witnesses per iteration and limited the maximum number of witnesses to 750. Our initial witness set consisted of points



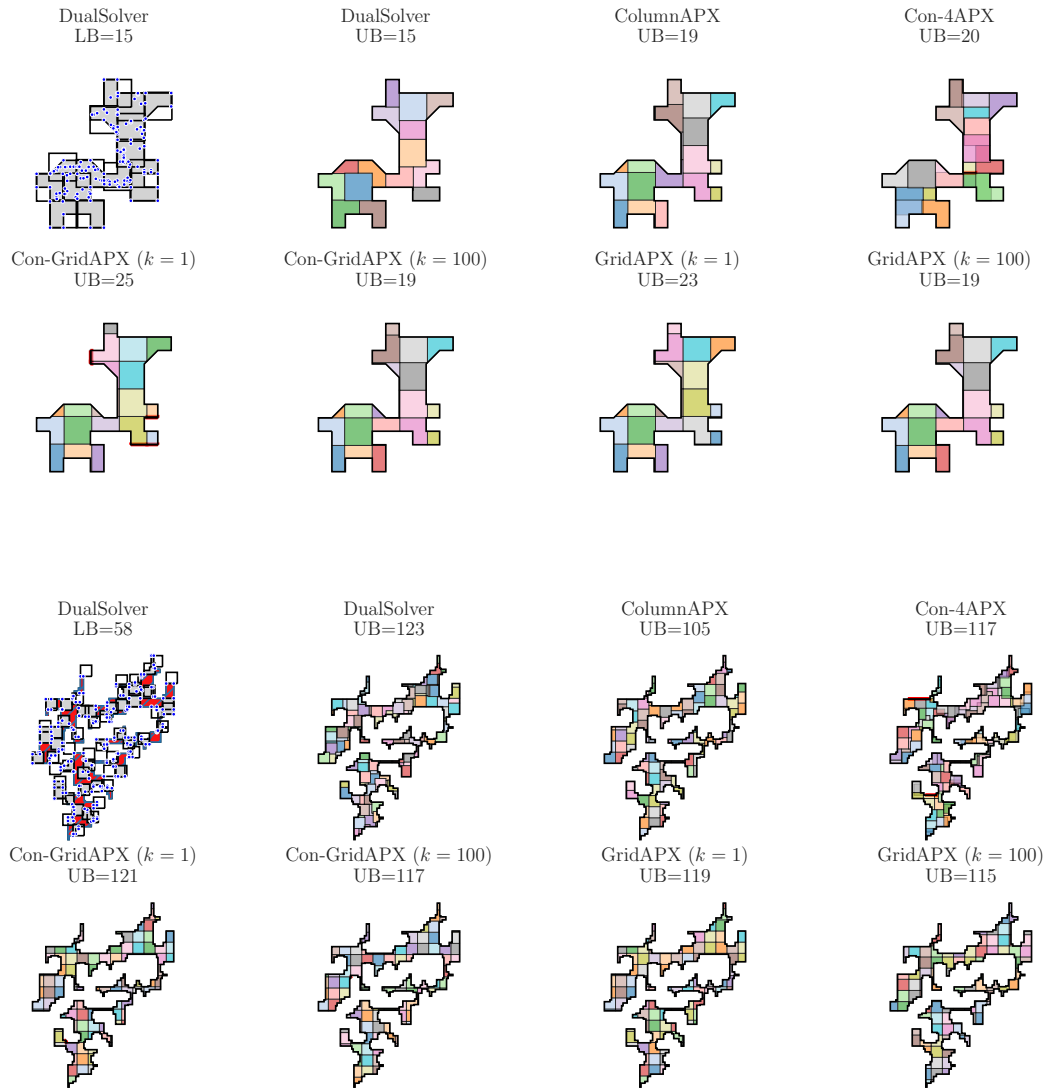
477 **Figure 7 Left:** Run times of the different algorithms. Naturally, the exact solver (**DualSolver**)
 478 takes significantly longer than the approximation algorithms, which ran into a timeout after 120 s.
 479 **Right:** Optimality gaps of the different solvers. Notably, **ColumnAPX** performs best on these instances,
 480 often producing solutions within 40% of optimal.

487 that were placed on the boundary of pieces in **GridAPX** to ensure an even distribution in
 488 the beginning. As can be seen in Figure 7 all approximation algorithms run within a few
 489 seconds, while **DualSolver** frequently hits the timeout of 120 s. Regarding solution quality,
 490 **DualSolver** is surprisingly ineffective at finding primal solutions (i.e. feasible covers). Only a
 491 small number of instances are solved to optimality within the time limit, see Figure 8 for an
 492 example of an optimal solution. Solutions often have tiny uncovered slivers that are hard to
 493 cover with few additional pieces, see Figure 8 for examples. **GridAPX** has been tested with
 494 a single grid offset as well as with $k = 100$ offsets and shows only moderate improvements
 495 when using multiple offsets. **ColumnAPX** consistently outperforms **GridAPX** and often finds
 496 solutions within 40% of optimal. In general, **Con-4APX** performs very well in practice, often
 497 producing solutions between 40% and 50% of the optimal solution. Despite the non-linear
 498 implementation, **ColumnAPX** is reasonably fast for most instances with runtimes that are
 499 comparable to the simple **GridAPX**.

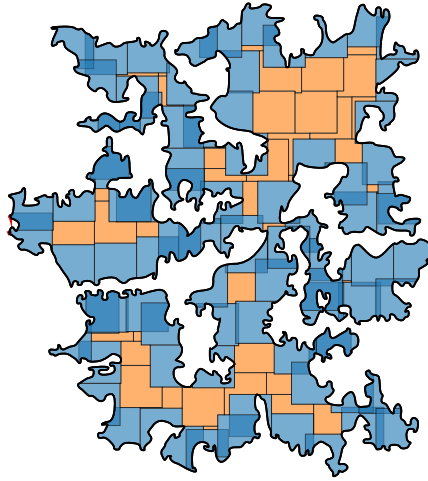
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481 **Figure 8** A selection of solutions produced by the algorithms. The leftmost plot shows the lower
482 bound produced by DualSolver with witness points in blue and uncovered areas marked in red.



569 **Figure 9** Example solution of the 4-approximation algorithm for SMALLCOVER. The interior and
 570 boundary pieces are shown in different colors.

568 **A** Supplemental Material for Section 3

571 **Algorithm 1** GREEDY INTERVAL COVER

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572 1: Input:  $\mathcal{I}$  is collection of disjoint unit intervals given in sorted order
573 2: Output: An optimal partition of  $\mathcal{I}$  into unit intervals
574 3:  $\mathcal{I}_u \leftarrow \emptyset$ 
575 4:  $s \leftarrow$  the first endpoint of  $\mathcal{I}$ 
576 5:  $t \leftarrow$  the last endpoint of  $\mathcal{I}$ 
577 6: while  $s < t$  do
578 7:    $s \leftarrow \text{NEXT}(s)$ 
579 8:    $\mathcal{I}_u \leftarrow \mathcal{I}_u \cup \{[s, s + 1]\}$ 
580 9:    $s \leftarrow s + 1$ 
581 10: end while
582 11: return  $\mathcal{I}_u$ 

```

583 **► Lemma 3.2** (*). *Given a collection $\mathcal{I}$ of n disjoint intervals on the x -axis in sorted order,*
 584 *GreedyIntervalCover returns an optimal unit interval cover of $\mathcal{I}$ in $\mathcal{O}(\text{OPT} \cdot \log n)$ time.*

585 **Proof.** Consider $W = \{a \mid [a, b] \in \mathcal{I}_u\}$, the distance between any two points in W is at least
 586 one by construction and therefore they cannot be covered by the same unit interval (i.e., W
 587 is a witness set). Therefore, $|\mathcal{I}_u| = |W| \leq \text{OPT} \leq |\mathcal{I}_u|$; this proves that $\mathcal{I}_u$ is optimal. Since
 588 $\text{NEXT}(\cdot)$ takes $\log n$ time, the runtime of $\mathcal{O}(\text{OPT} \cdot \log n)$ follows. $\blacktriangleleft$

589 **► Lemma 3.4** (*). *Given a region $P \subseteq \mathbb{R}^d$ with an optimal d -DISCOSMALLPARTITION of*
 590 *size OPT and a collection of optimal d -DISCOSMALLPARTITION solutions $\mathcal{Q}_t$ for each P_t*
 591 *with $t \in \mathbb{Z}$. The following holds:*

$$592 \quad \left| \bigcup_{t \in \mathbb{Z}} \mathcal{Q}_t \right| \leq 2 \cdot \text{OPT}.$$

Proof. Consider an optimal disconnected small partition $\mathcal{Q}'$ of P and a small region $Q' \in \mathcal{Q}'$. Since Q' lies within a unit hypercube, Q' intersects at most 2 regions in $\{P_t \mid t \in \mathbb{Z}\}$. If we split each $Q' \in \mathcal{Q}'$ by the hyperplane defined by $p[0] = t$ for all $t \in \mathbb{Z}$, we have a valid set of solutions to each P_t of total size at most $2 \cdot \text{OPT}$. Since each $\mathcal{Q}_t$ is optimal, $\bigcup_{t \in \mathbb{Z}} \mathcal{Q}_t$ must use at most $2 \cdot \text{OPT}$ small regions. $\blacktriangleleft$

► **Lemma 3.5** ($\star$). Consider a region $P \subseteq [0, 1]^{d-1} \times \mathbb{R}$ and let $\mathcal{I}$ denote its projection onto the d^{th} -axis. Let $\mathcal{I}_u$ be the optimal unit interval cover of $\mathcal{I}$ that **GreedyIntervalCover** returns. Then, $\{[0, 1]^{d-1} \times I \mid I \in \mathcal{I}_u\}$ is an optimal disconnected small partition of P .

Proof. Consider an optimal disconnected small partition $\mathcal{Q}$ of P and let $\mathcal{I}_u$ denote the optimal unit interval cover of $\mathcal{I}$ that Algorithm 1 returns. For each $I \in \mathcal{I}_u$, consider the small region $([0, 1]^{d-1} \times I) \cap P$. Using Remark 3.3, we infer that the collection $\mathcal{Q}_{\mathcal{I}}$ of these regions is a disconnected small partition of P ; so $|\mathcal{Q}| \leq |\mathcal{Q}_{\mathcal{I}}| = |\mathcal{I}_u|$. On the other hand, let I_Q denote the projected interval of $Q \in \mathcal{Q}$ onto the d^{th} -axis. Replace I_Q with a unit interval that covers it (since Q is a small region, this is indeed possible). The collection $\mathcal{I}_{\mathcal{Q}} = \{I_Q \mid Q \in \mathcal{Q}\}$ is now a unit interval cover of $\mathcal{I}$. This shows that $|\mathcal{I}_u| \leq |\mathcal{I}_{\mathcal{Q}}| = |\mathcal{Q}|$. This proves that $\mathcal{Q}_{\mathcal{I}}$ is an optimal disconnected small partition of P as required. $\blacktriangleleft$

► **Lemma 3.7** ($\star$). Given V_i, T_h, T_t , and $\mathcal{I}_i$, **INTERSECT**(s) can determine if there is a point in $p \in P_i$ with $y(p) = s$ in $\mathcal{O}(\log n)$ time.

Proof. Let ℓ_s denote the line segment $[i, i+1] \times \{s\}$ (i.e., the horizontal segment through s bounded by C_i). To determine if ℓ_s intersects P_i , we dive into three cases; **INTERSECT**(s) goes through these cases in the given order.

► **Case A.0.1** (s lies in $\mathcal{I}_i$). With the endpoints of each component of $\mathcal{I}_i$ in sorted order, we can determine whether s lies in a semiclosed interval of $\mathcal{I}_i$ or not with binary search in $\mathcal{O}(\log n_i)$ time. If this is true, **INTERSECT**(s) returns YES, since s intersects an edge of P_i . See Figure 5, *Middle Left*. $\lrcorner$

► **Case A.0.2** (ℓ_s intersects a spanning edge e of P_i). If e is not incident on a vertex in C_i , e appears in T_t . Perform binary search on T_t to determine where the point (i, s) lies. The point either lies between two edges in T_t or above (resp. below) all its edges; let e be highest (resp. lowest) edge in this case. We refer to these as *flanking edges*, plural, for brevity. These flanking edges can be computed in $\mathcal{O}(\log n)$ time. In constant time, we determine if they intersect $\ell_s^\dagger$; **INTERSECT**(s) returns YES if either do. See Figure 5, *Middle*. $\lrcorner$

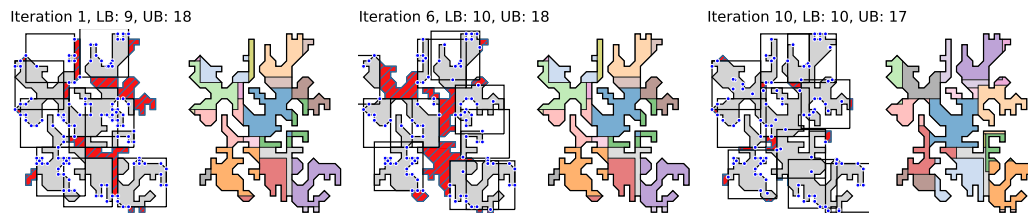
Cases A.0.1 and A.0.2 effectively determine if ℓ_s intersects an edge of P . This leaves only two possibilities: either ℓ_s lies completely inside P or completely outside P .

► **Case A.0.3** (ℓ_s does not intersect any edge of P). Since $\ell_s \subseteq P$ or $\ell_s \subseteq \mathbb{R}^2 \setminus P$, it suffices to check whether $(i+1, s) \in P_i$. Consider its flanking edges in T_h as in Case A.0.2. Let e be a flanking edge of $(i+1, s)$ in T_h . Recall that the edges of P are oriented so that their right points towards P ; **INTERSECT**(s) returns YES if $(i+1, s)$ is to the right of e , otherwise it returns NO. Since flanking edges can be found in $\mathcal{O}(\log n)$ time, this case only requires $\mathcal{O}(\log n)$ time. See Figure 5, *Middle Right* and *Far Right*. $\lrcorner$

Our cases are exhaustive and require $\mathcal{O}(\log n)$ time each to process; the lemma follows. $\blacktriangleleft$

► **Observation 3.9** ($\star$). The total amortized work of marching the sweepline and maintaining T_h and T_t is $\mathcal{O}(n \log n)$.

† When this intersection is at (i, s) we return YES if, and only if, ℓ_s lies to the right of the edge.



641 **Figure 10** Iterations of the primal dual approach. The figure shows the upper bound and lower
 642 bound maintained by the algorithm after each iteration.

636 **Proof.** This follows immediately from the complexity of well-known line sweep algorithms –
 637 see [6] and [10, Chapter 2]. ◀

638 ▶ **Observation 3.10** (★). *The total amortized work of maintaining $\mathcal{I}_i$ and V_i is $\mathcal{O}(n \log n)$.*

639 **Proof.** Constructing V_i and $\mathcal{I}_i$ in each P_i takes $\mathcal{O}(n_i \log n_i)$ time where n_i is the number of
 640 vertices of P in P_i . Since a vertex of P is in exactly one P_i , the overall runtime follows. ◀